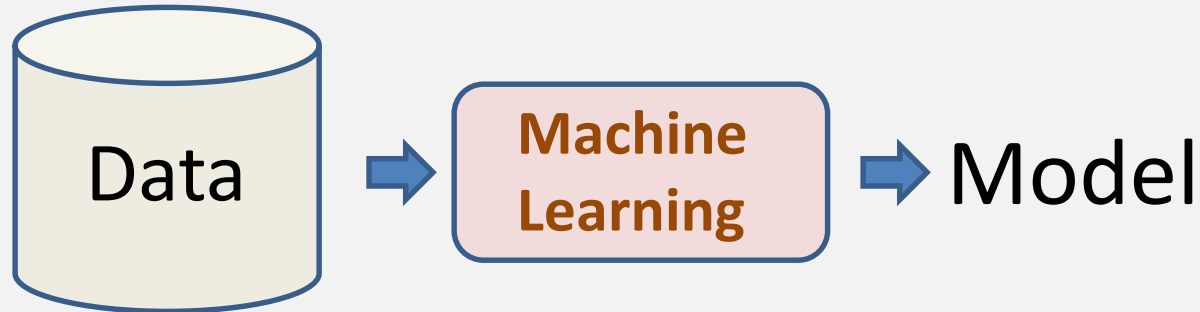


# Machine Learning For Feature-Based Analytics

Li-C. Wang

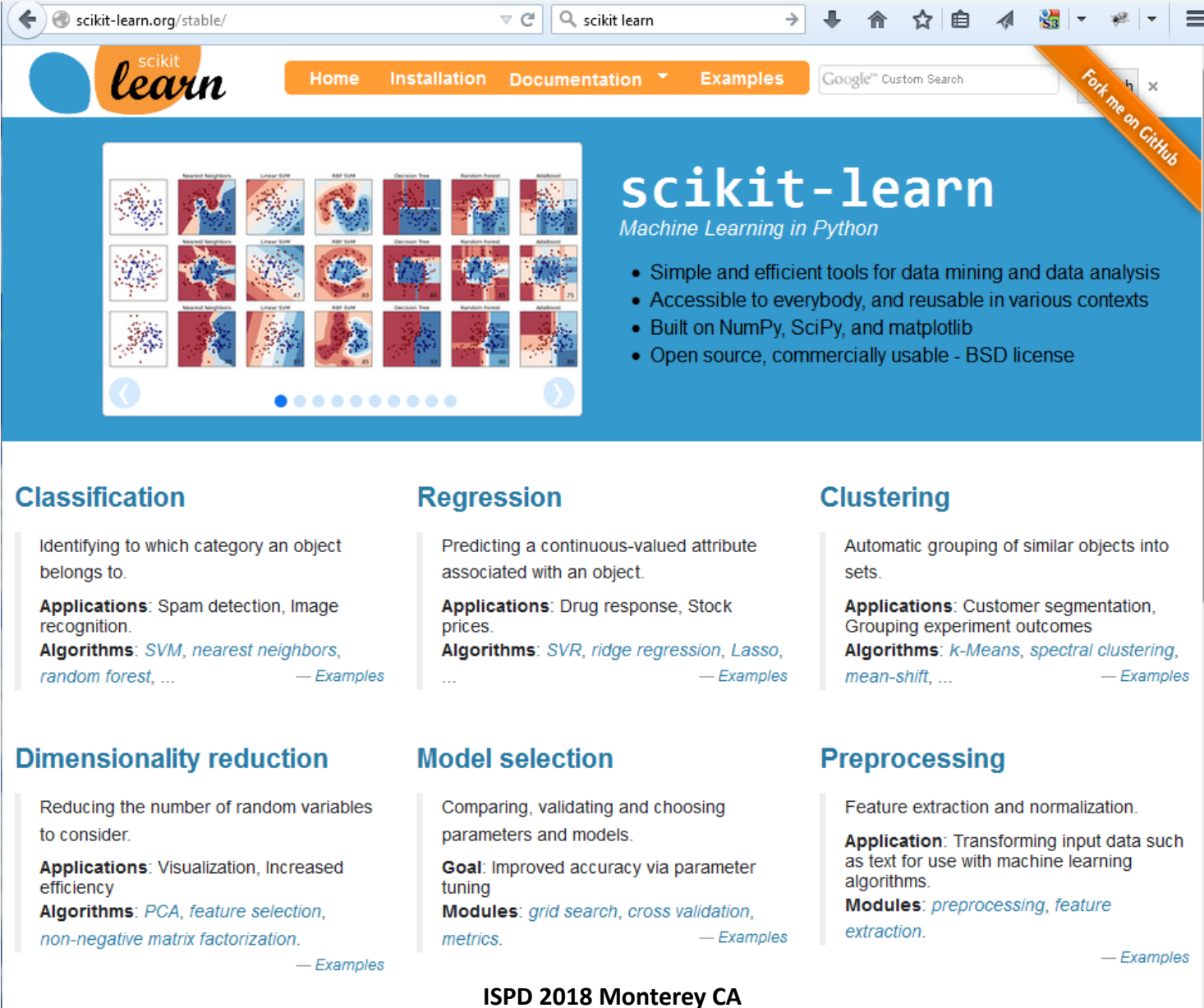
University of California, Santa Barbara

# Machine Learning



- **Machine Learning is supposed to construct an “optimal” model to fit the data (whatever “optimal” means)**

# ML Tools: e.g. <http://scikit-learn.org/>



scikit-learn

Home Installation Documentation Examples Google™ Custom Search Fork me on GitHub

## scikit-learn

Machine Learning in Python

- Simple and efficient tools for data mining and data analysis
- Accessible to everybody, and reusable in various contexts
- Built on NumPy, SciPy, and matplotlib
- Open source, commercially usable - BSD license

### Classification

Identifying to which category an object belongs to.

**Applications:** Spam detection, Image recognition.

**Algorithms:** SVM, nearest neighbors, random forest, ... — Examples

### Regression

Predicting a continuous-valued attribute associated with an object.

**Applications:** Drug response, Stock prices.

**Algorithms:** SVR, ridge regression, Lasso, ... — Examples

### Clustering

Automatic grouping of similar objects into sets.

**Applications:** Customer segmentation, Grouping experiment outcomes

**Algorithms:** k-Means, spectral clustering, mean-shift, ... — Examples

### Dimensionality reduction

Reducing the number of random variables to consider.

**Applications:** Visualization, Increased efficiency

**Algorithms:** PCA, feature selection, non-negative matrix factorization. — Examples

### Model selection

Comparing, validating and choosing parameters and models.

**Goal:** Improved accuracy via parameter tuning

**Modules:** grid search, cross validation, metrics. — Examples

### Preprocessing

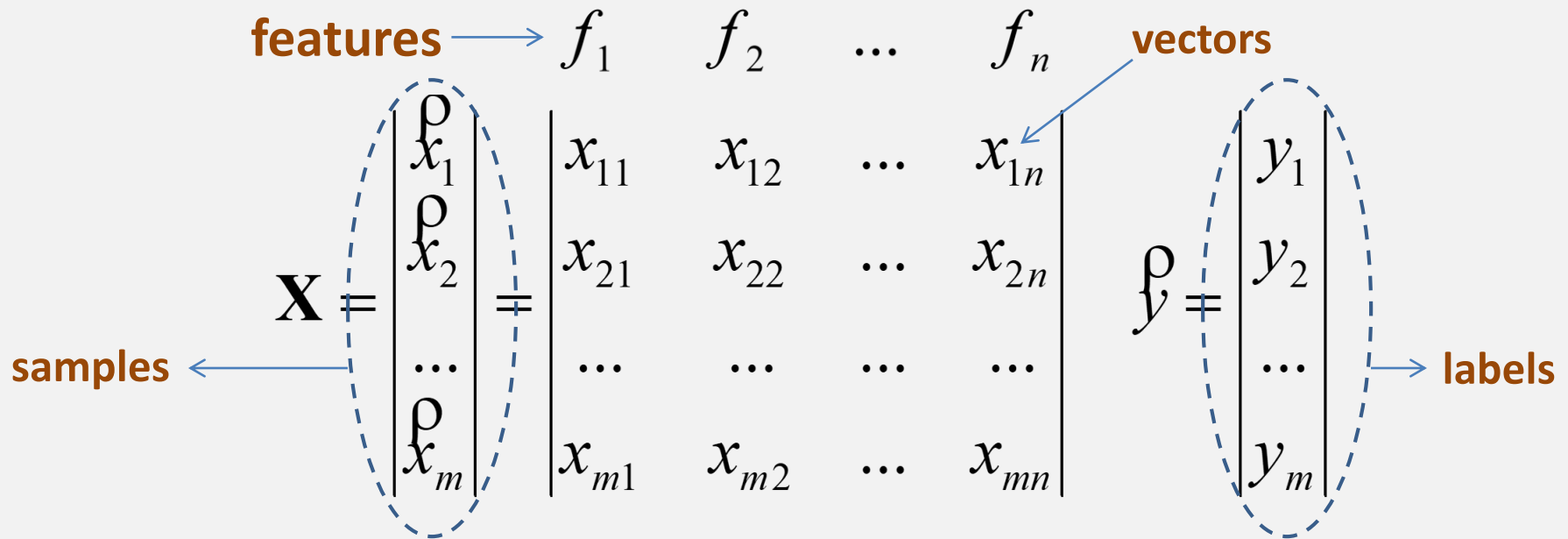
Feature extraction and normalization.

**Application:** Transforming input data such as text for use with machine learning algorithms.

**Modules:** preprocessing, feature extraction. — Examples

ISPD 2018 Monterey CA

# Dataset Format



- A learning tool usually takes the dataset as above
  - **Samples**: examples to be reasoned on
  - **Features**: aspects to describe a sample
  - **Vectors**: resulting vector representing a sample
  - **Labels**: care behavior to be learned from (optional)

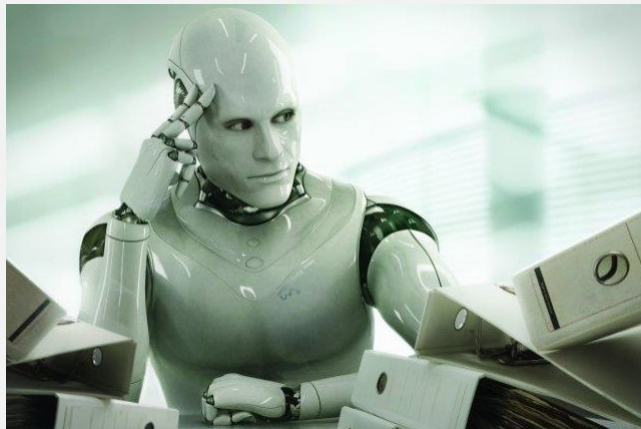
# Noticeable ML Applications In Recent Years



**Self-Driving Car**



**Mobile Google Translation**



**Smart Robot**



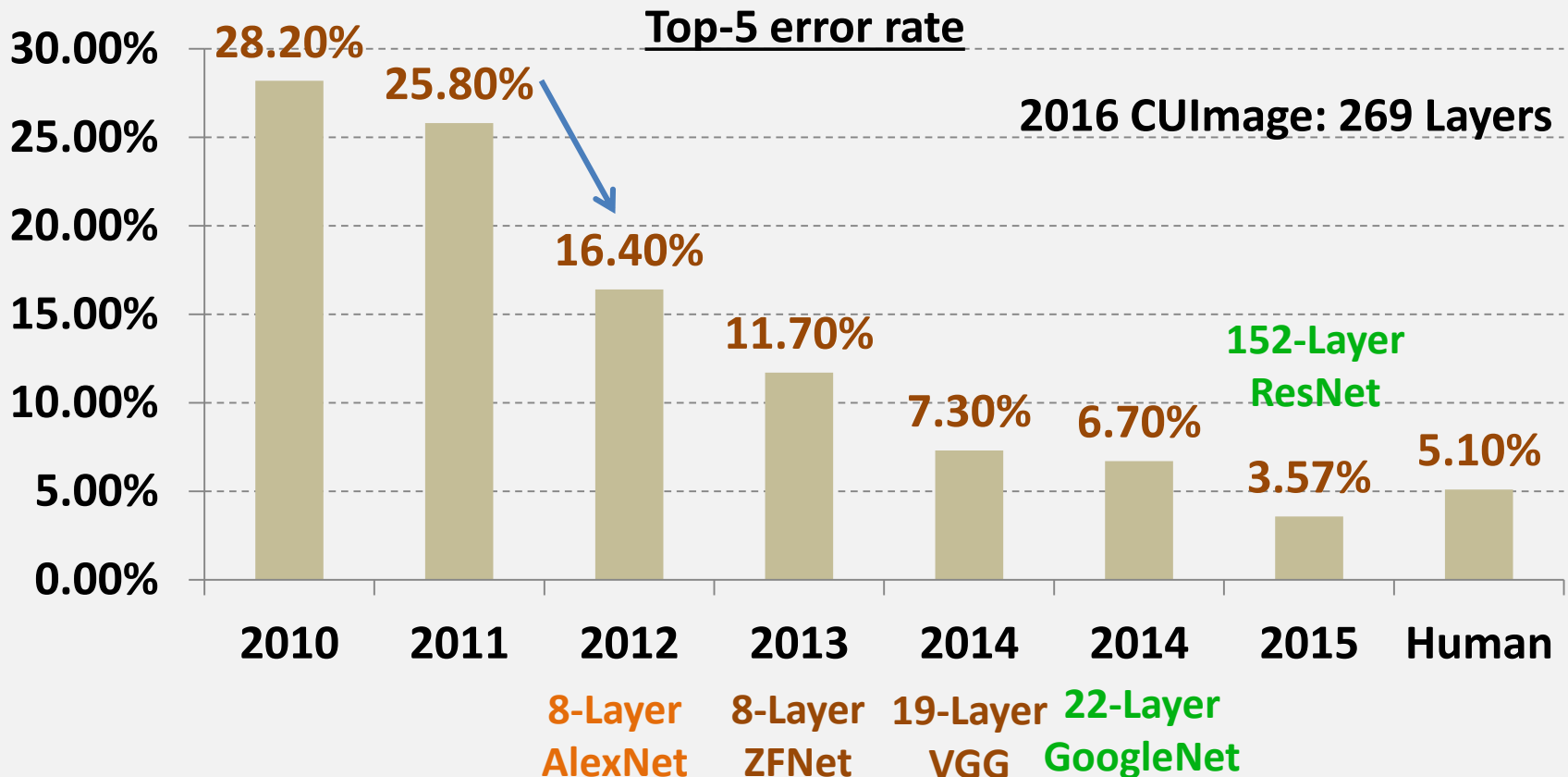
**AlphaGo (Google)**

\*These images are found in public domain

# Deep Learning for Image Recognition

➤ ImageNet: Large Scale Visual Recognition Challenge  
(<http://www.image-net.org/challenges/LSVRC/>)

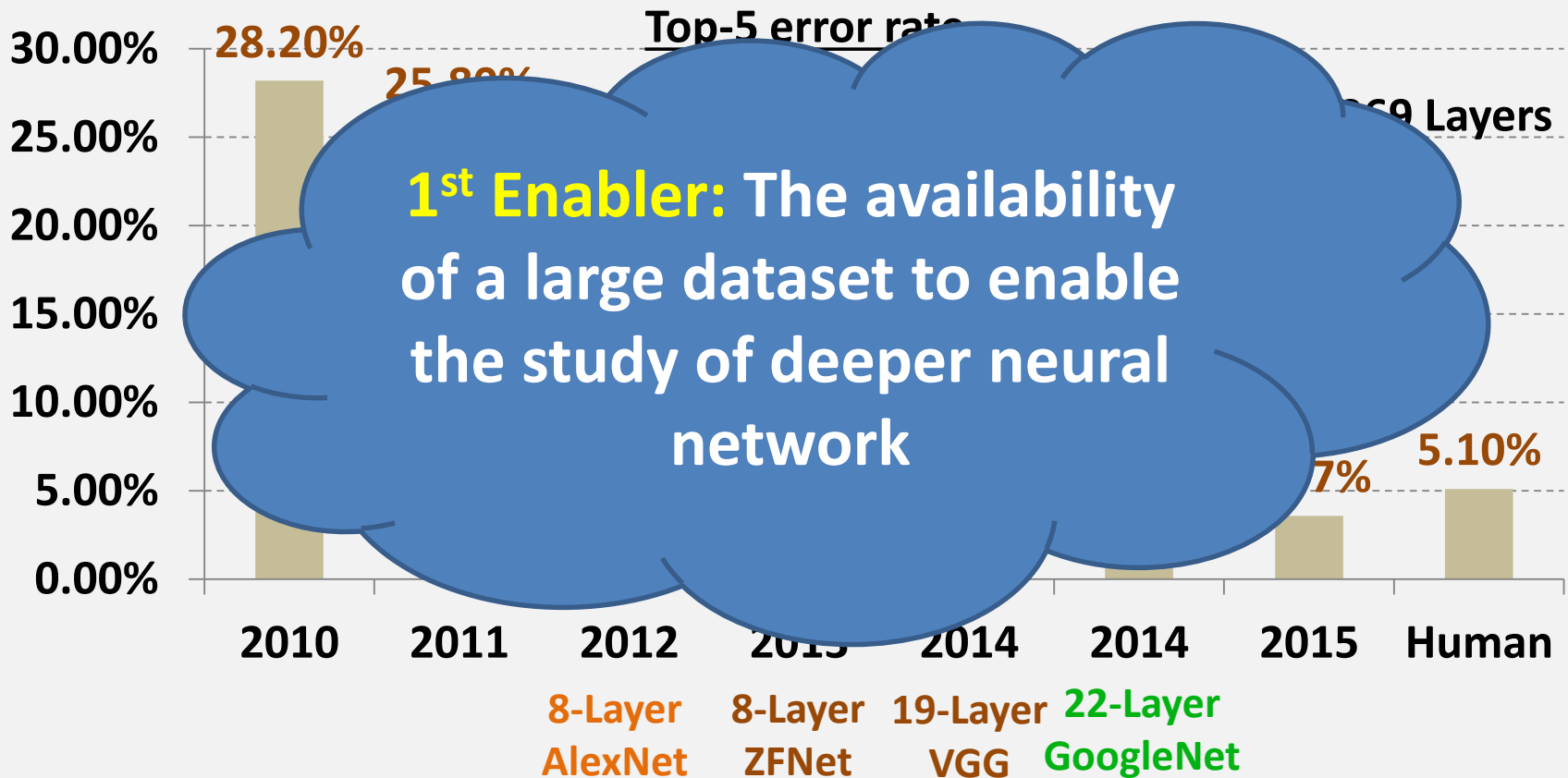
– 1000 Object Classes, 1.4M Images



# Deep Learning for Image Recognition

## ➤ ImageNet: Large Scale Visual Recognition Challenge (<http://www.image-net.org/challenges/LSVRC/>)

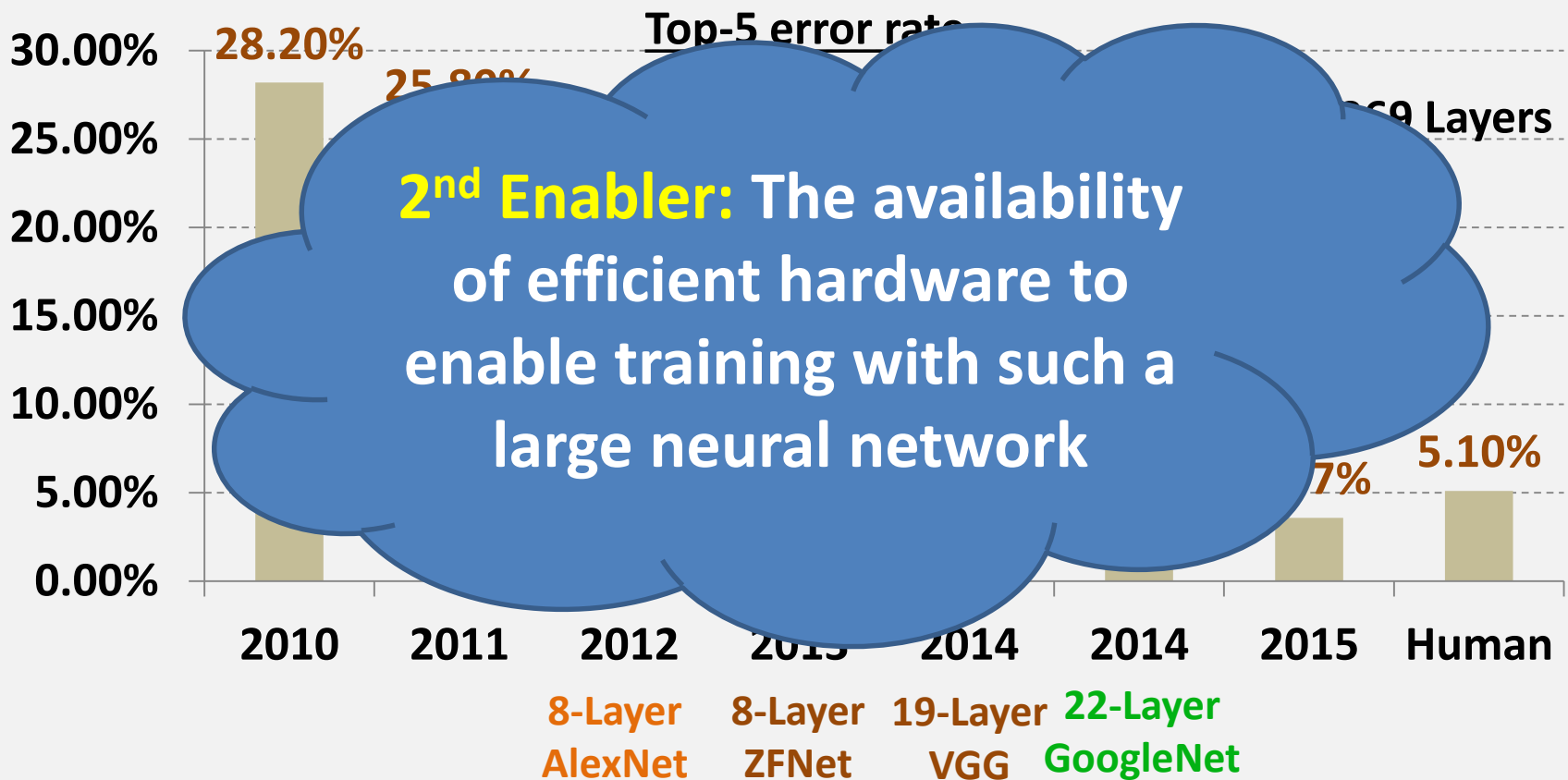
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# Deep Learning for Image Recognition

## ➤ ImageNet: Large Scale Visual Recognition Challenge (<http://www.image-net.org/challenges/LSVRC/>)

– 1000 Object Classes, 1.4M Images

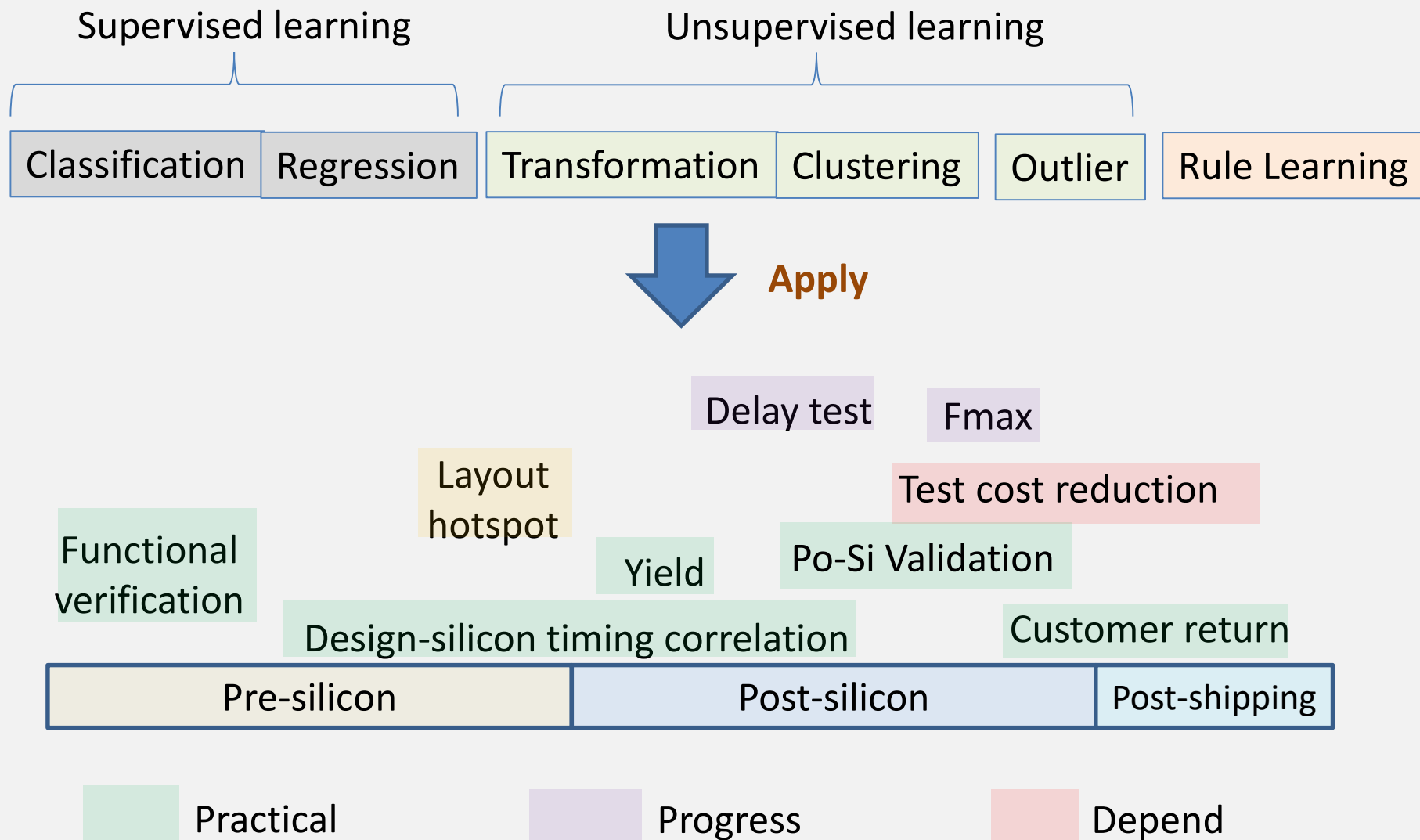




➤ **Which tool is better?**

**In many EDA/Test applications,  
it is not just the tool!**

# Applications – Our Experience



# Challenges in Machine Learning for EDA/Test

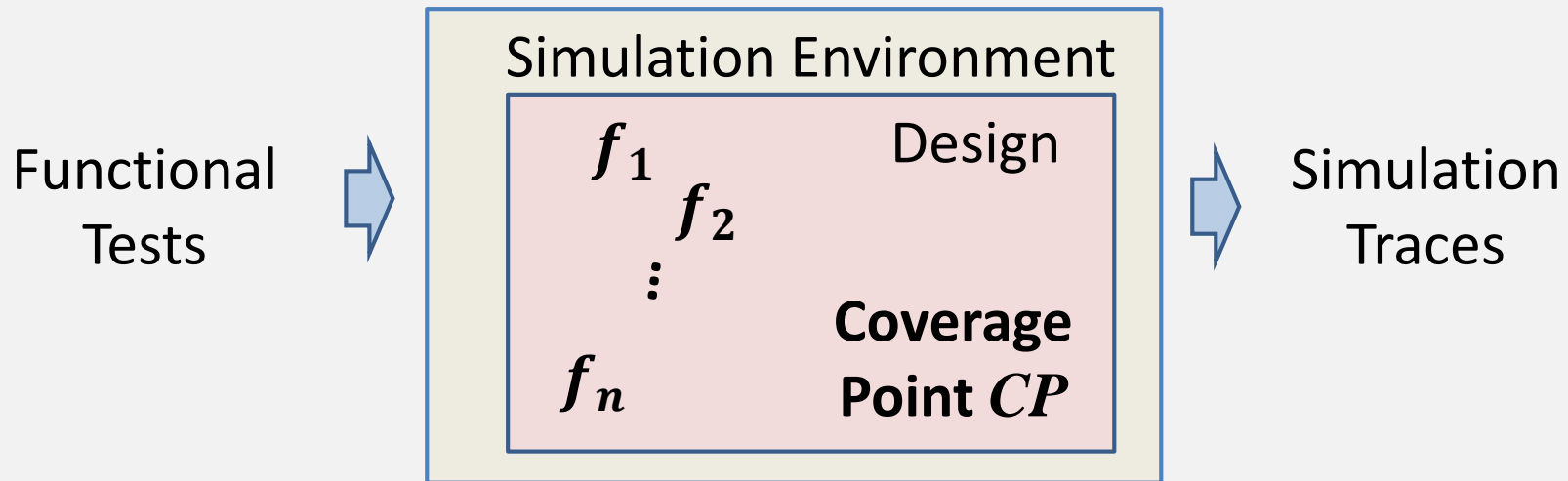
## ➤ Data

- Data is limited
- Data can be extremely unbalanced (very few positive samples of interest, many negative samples)
- **Cross-validation** is not an option

## ➤ Model Evaluation

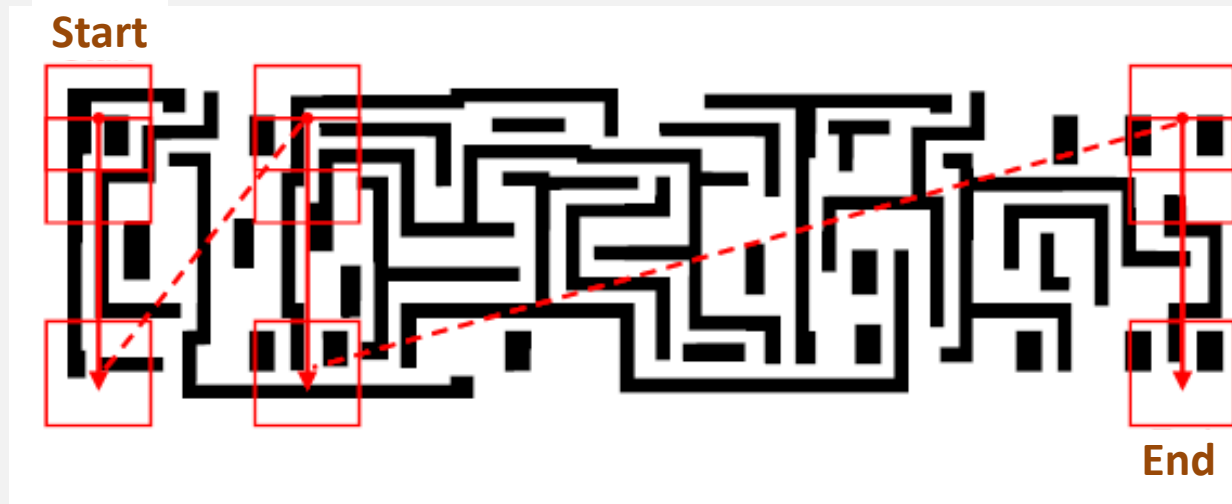
- The meaningfulness of a model specific to the context
- Model evaluation can be rather expensive

# e.g. Functional Verification



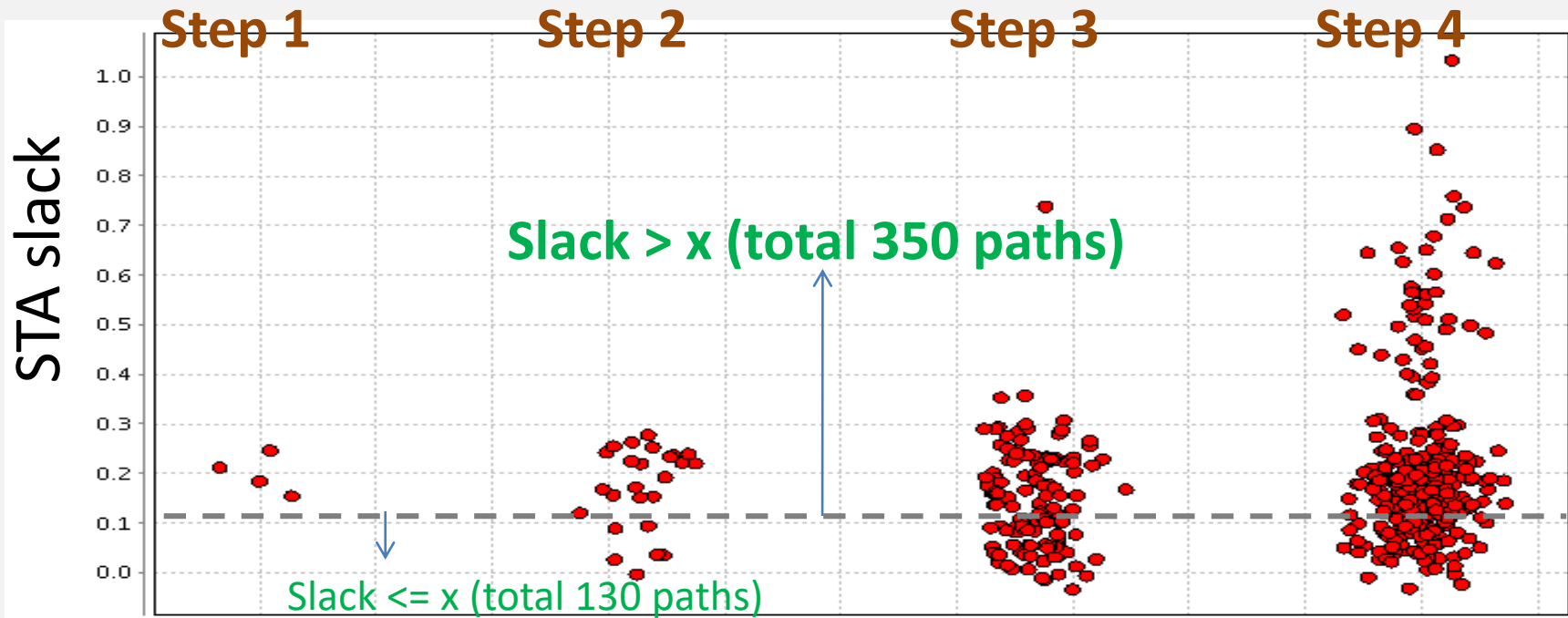
- **Goal:** to achieve more coverage on CP
- **Approach:** Analyze simulation traces to find out
  - What combination of signals can activate CP?
- **Features:**  $f_1, f_2, \dots, f_n$  are testbench-controllable signals
- **Data:** Few or no samples that cover CP
  - Positive Samples: 0 to few
  - Negative Samples: 1K to few K's

# e.g. Physical Verification



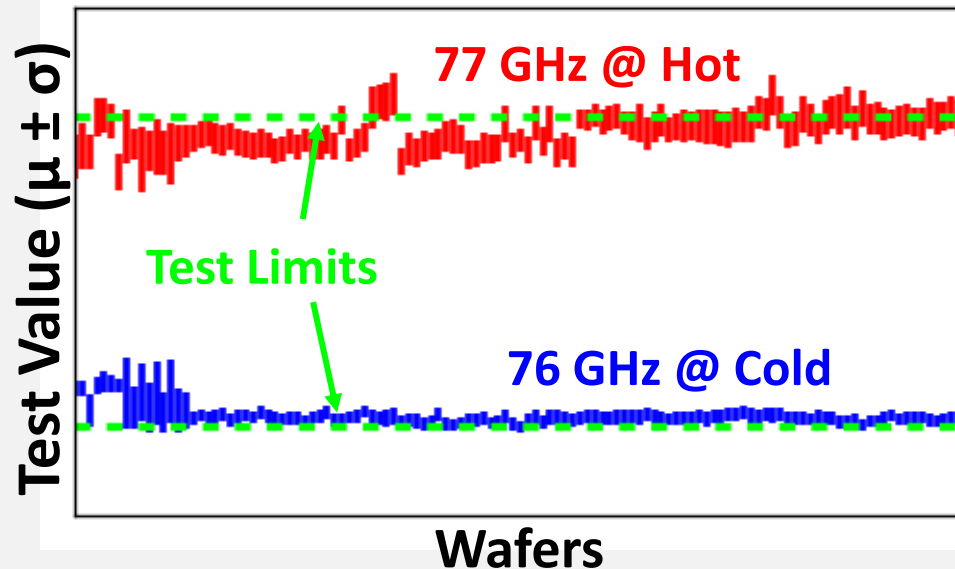
- **Goal:** to model causes for an issue
- **Approach:** Analyze snippets of layout images to find out
  - **What combination of features can cause a issue?**
- **Features:**  $f_1, f_2, \dots, f_n$  are developed based on domain knowledge to characterize geometry or material properties
- **Data:** Few samples for a particular type of issue
  - Positive Samples: 1 to few
  - Negative Samples: many

# e.g. Timing Verification



- **Goal:** to model causes for a miss-predicted silicon critical path
- **Approach:** Analyze unexpected silicon critical paths
  - What combination of design features can cause an unexpected critical path?
- **Features:**  $f_1, f_2, \dots, f_n$  are developed based on design knowledge to characterize a timing path
- **Data:** Few samples for a particular type of critical path
  - Positive Samples: 1 to few
  - Negative Samples: many (STA critical but not silicon critical – about \$25K paths)

# e.g. Yield



- **Goal:** to find a receipt to improve yield
- **Approach:** Analyze wafer yield data with process parameters
  - Tuning what combination of process parameters can improve yield?
- **Features:**  $f_1, f_2, \dots, f_n$  are tunable process parameters
- **Data:** Samples can be parts or wafers
  - Positive Samples: Failing parts or Low-yield wafers
  - Negative Samples: Others

# Feature-Based Analytics

## ➤ Problem:

- Search for a **combination of features** or feature values among a large set of features

## ➤ Data:

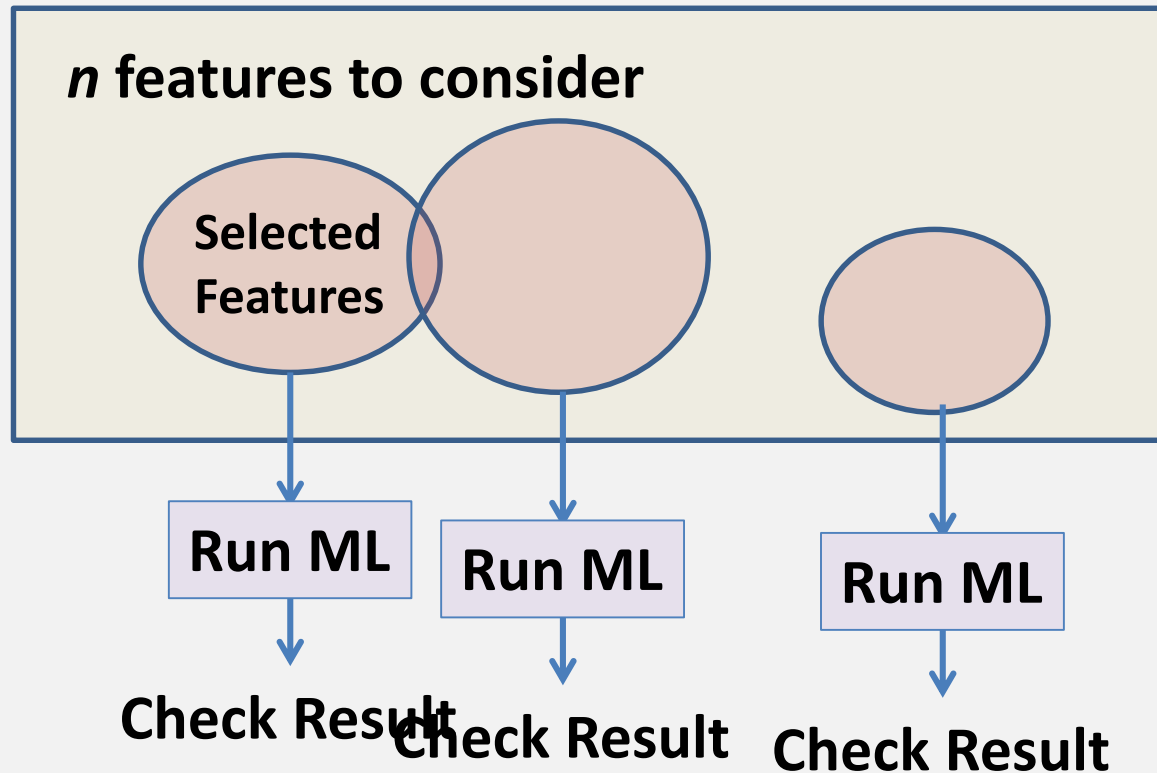
- Interested in **positive samples**
- **Extremely unbalanced** – Many more negative samples and very few positive samples

## ➤ Not a traditional feature selection problem

- **Insufficient data**
- **Cannot apply cross-validation** to check a model

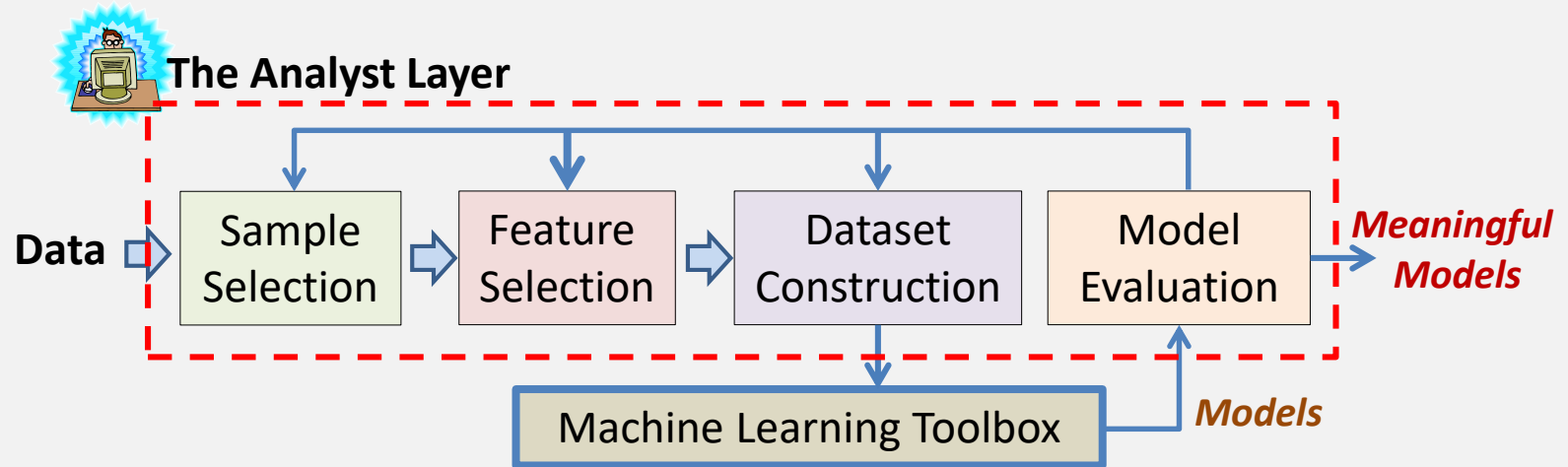


# In Practice, This Is What Happens



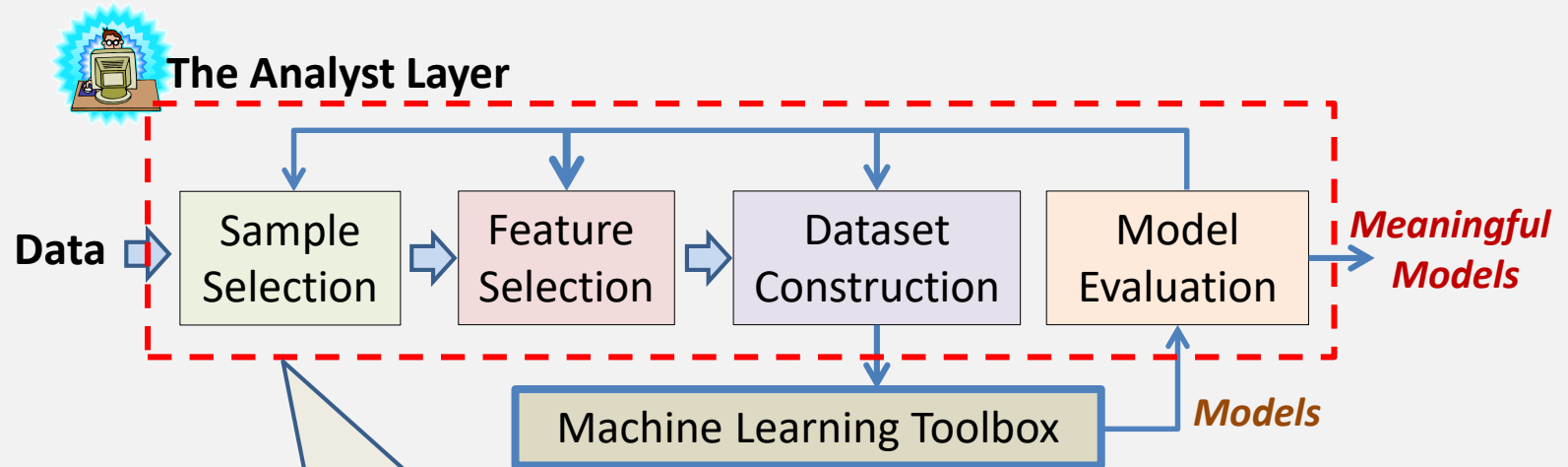
- Learning from data becomes an **iterative search** process (usually run by a person)

# An Iterative Search Process



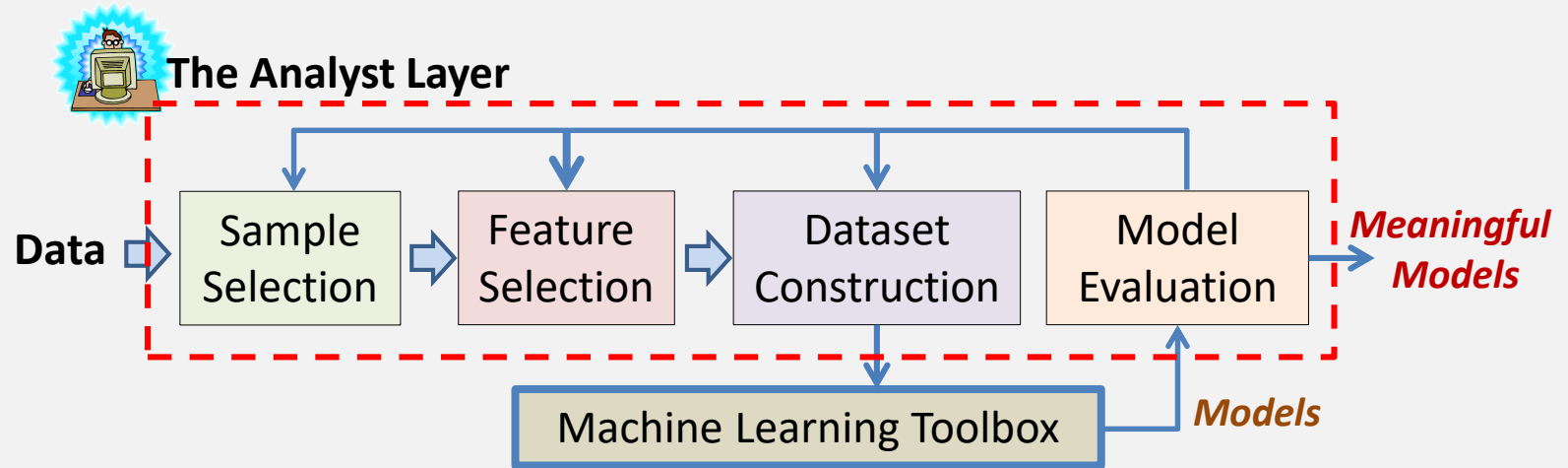
- Learning is an iterative search process
- The analyst
  - (1) Prepare the datasets to be analyzed
  - (2) Determine if the results are meaningful
- The effectiveness depends on how the analyst conducts these two steps – not just about the tool in use!

# Implications



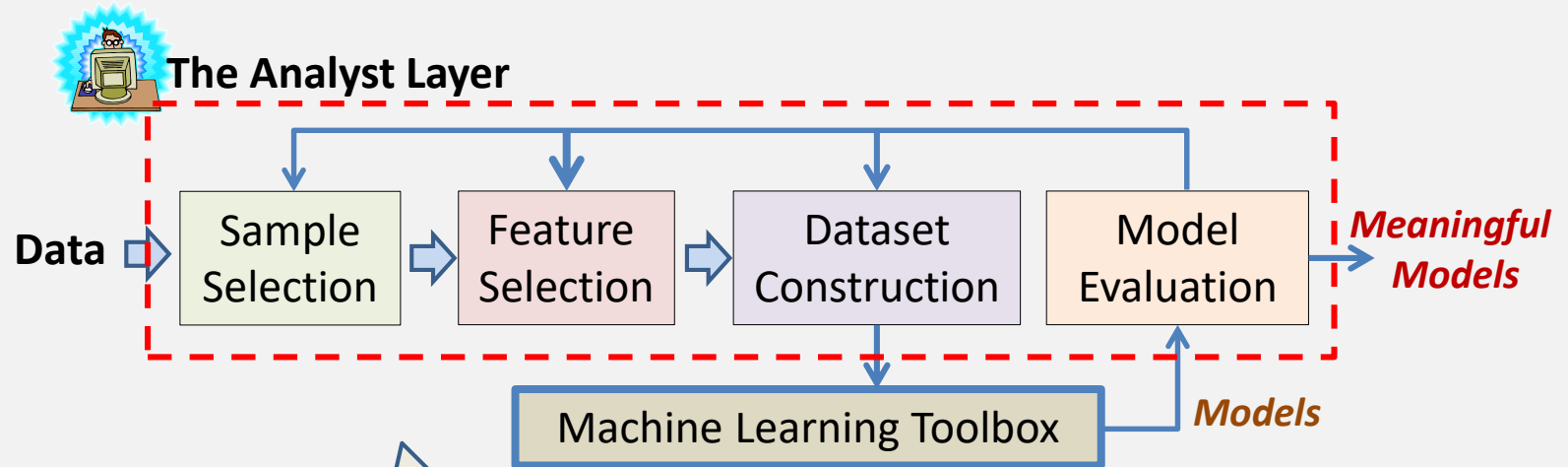
The effectiveness of the search largely depends on how the **Analyst Layer** is conducted

# Implications



The **Analyst Layer** demands a **ROBUST Machine Learning Toolbox** where the model can be assessed **WITHOUT** cross-validation

# Implications



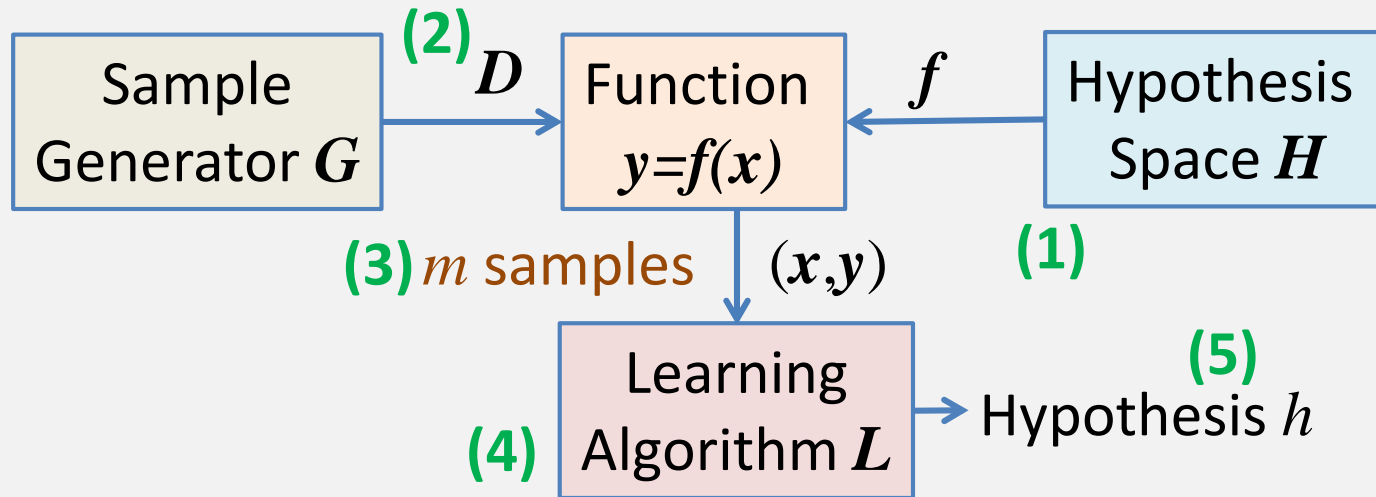
Automation requires automating both the **Analyst Layer** and the **Machine Learning Toolbox**

# Machine Learning Toolbox

# Questions

- **Recall main issue: We can't apply cross-validation**
- **Why do we need cross-validation?**
- **Why can a machine learning algorithm guarantee the accuracy of its output model?**
- **What's a machine learning algorithm trying to optimize anyway?**

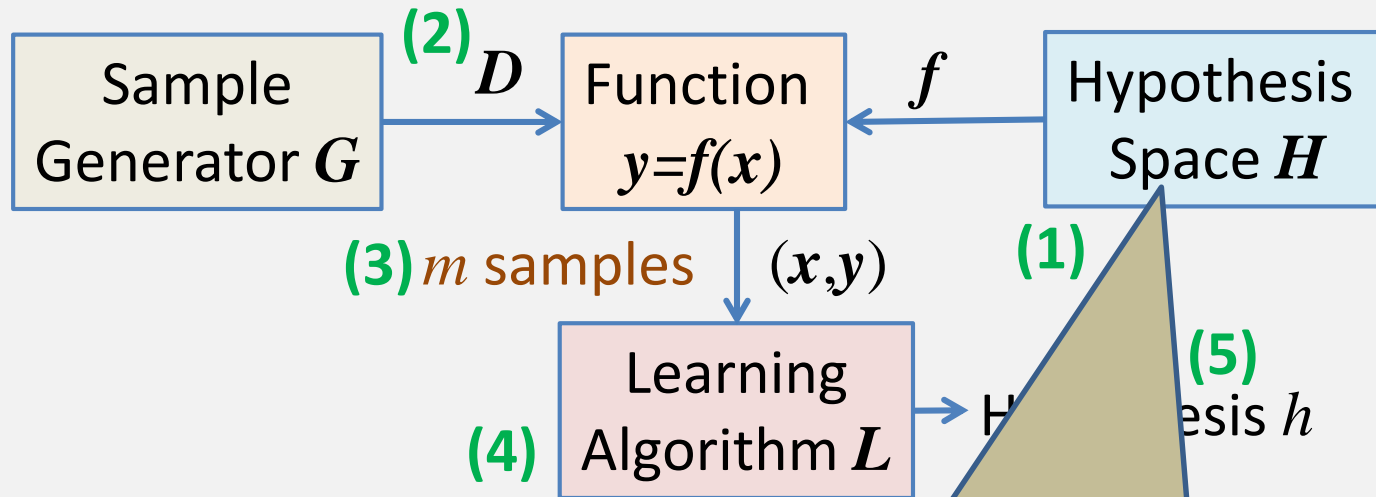
# Five Assumptions To Machine Learning



- A restriction on  $H$  (otherwise, NFL)
- An assumption on  $D$  (i.e. not time-varied)
- Assuming size  $m$  is in order  $O(\text{poly}(n))$ ,  $n$ : # of features
- Making sure a practical algorithm  $L$  exists
- Assuming a way to measure error, e.g.  $\text{Err}(f(x), h(x))$

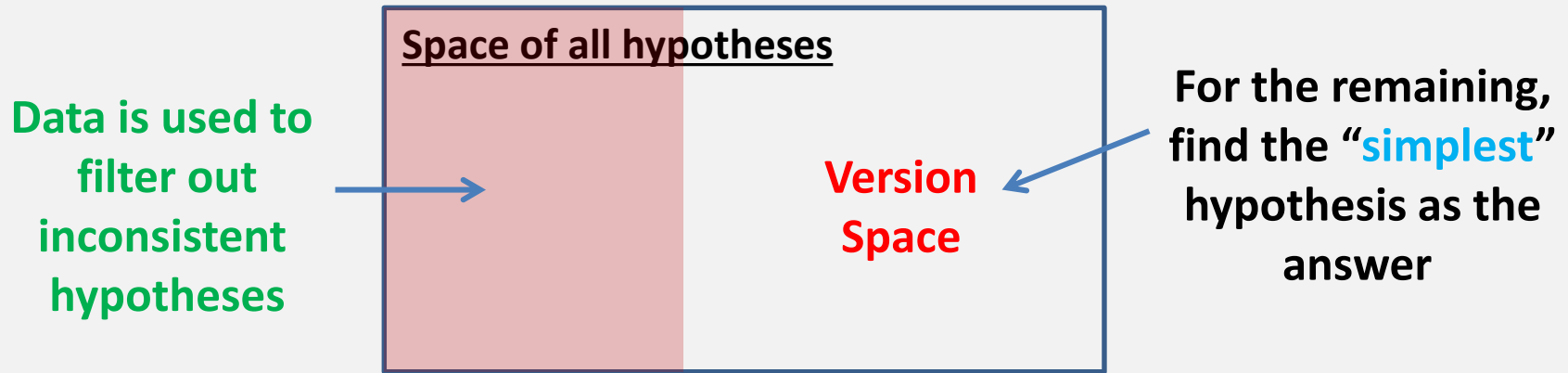


# In Practice



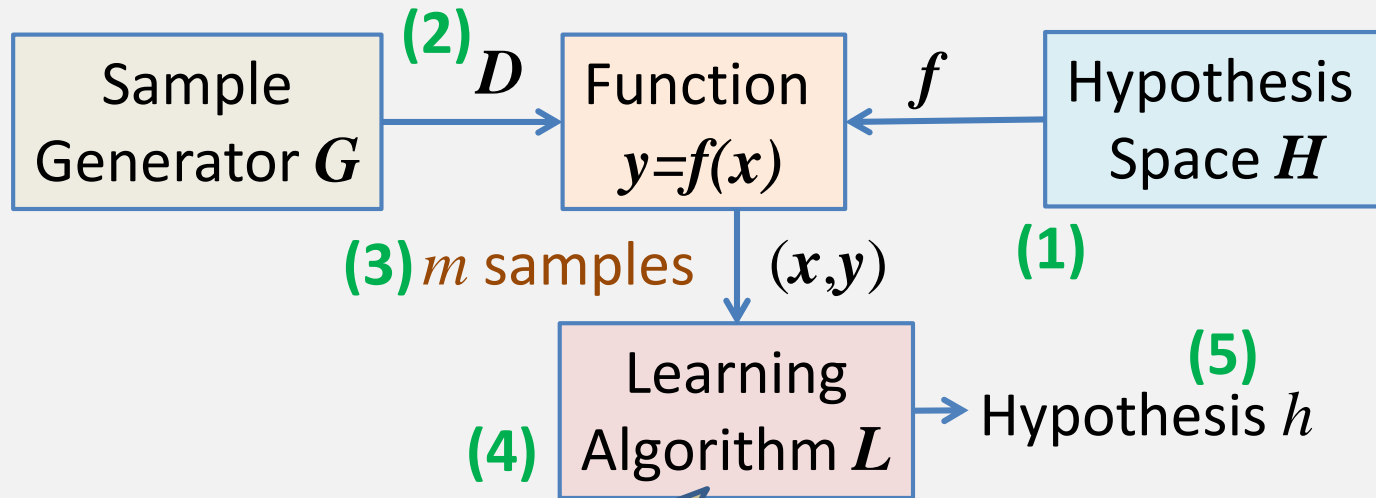
**Because we don't know how complex  $H$  should be, we assume the most complex  $H$  we can afford in training**

# As A Result, We Need Occam's Razor Assumption



- Hypothesis space: e.g. all possible assignment of weight values in a neural network (can be infinite)
- **Occam's Razor (Regularization):** Find the “simplest” hypothesis that fit the data
  - Hence, many machine learning algorithms solve a non-convex constrained minimization problem (NP-Hard or Harder)
- However, the simplicity measure might not be meaningful in an application context

# In Practice

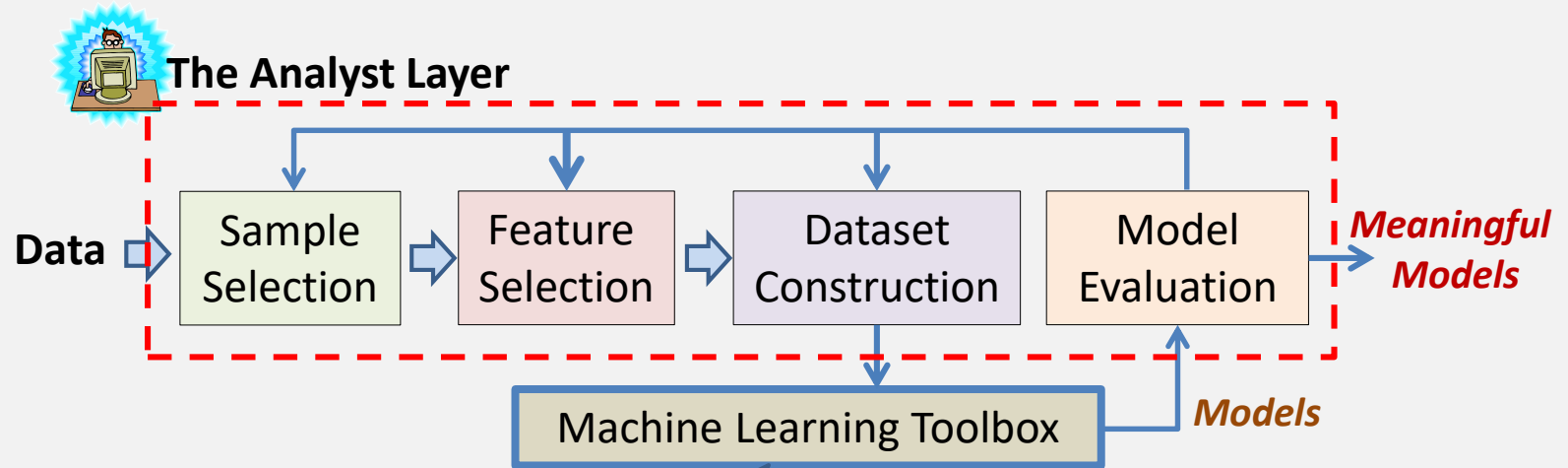


**Because non-convex optimization is hard, some heuristic is used, and the solution is often a local minimum**

# Many Things Are Not Ideal

- Your assumption of the hypothesis space might be too simple (**underfitting**) or too complex (**overfitting**)
- You may **not have sufficient data** to identify the exact answer from your assumed hypothesis space
- Your learning algorithm is **only a heuristic** and does not guarantee to find the “optimal” model
- As a result, you need **cross-validation**

# Main Question For The ML Tool

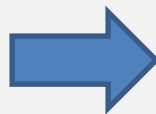


**Can we have a ML tool that can produce a model with some guarantee, without using Cross-Validation?**

# Alternative Machine Learning View

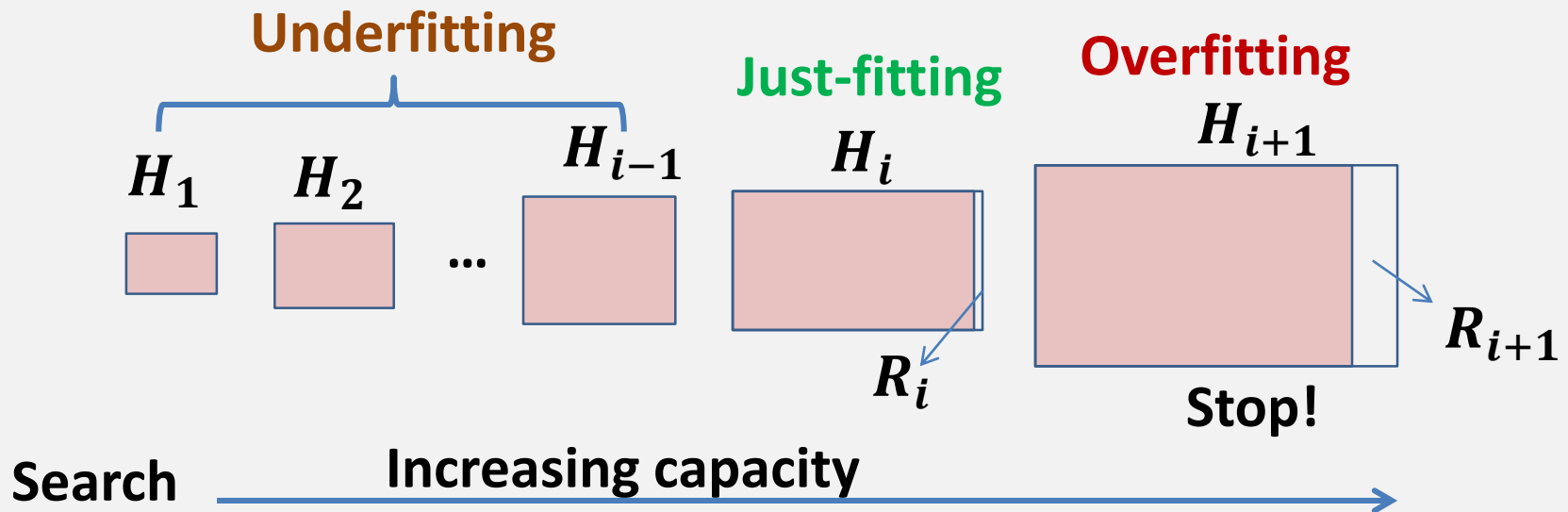
- **Traditional machine learning:** Find an optimal model based on the given dataset
- **Alternative machine learning:** Find an interpretable **Hypothesis Space Assumption  $H$**  where a model can JUST-FIT the dataset but not overfitting

Search for  
a Model



Search for  
An Assumption

# Illustration of AML



- Search for the “**JUST-FIT**” hypothesis space
  - Such that the output model among the few answers consistent with all the samples
- The **JUST-FIT** hypothesis space (if exists) can be a measure of quality for the model

# **VeSC-CoL: Our Concept Learning Tool**



# VeSC-CoL

- Reference : Kuo-Kai Hsieh and Li-C. Wang, **A Concept Learning Tool Based On Calculating Version Space Cardinality**, arXiv:1803.08625 [cs.AI], Mar 23, 2018
- Handle binary-valued features
- Target (interpretable) concept: *k-term DNF, for small k*
- Designed to handle extremely-unbalanced dataset **without cross-validation**
- Two implementations: SAT-Based and OBDD-Based

# K-term DNF – Terminology

$$x_1 \overline{x_2} x_4 \longrightarrow \text{1-term DNF or Monomial}$$

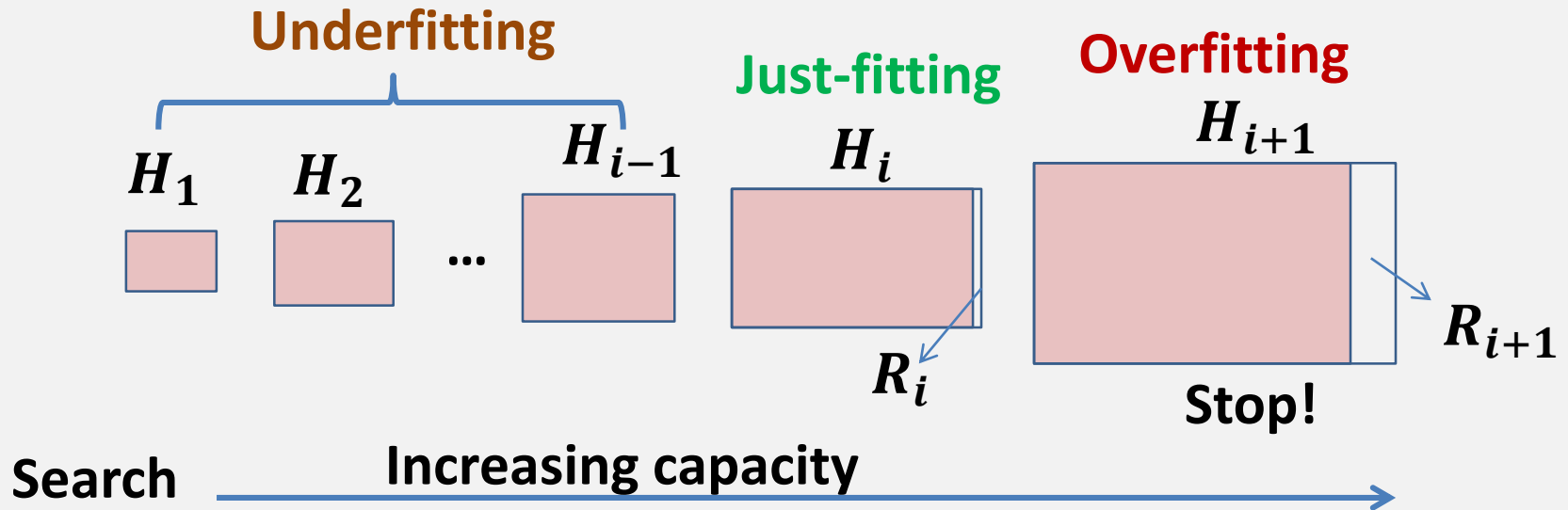

Length  $l$  = number of literals = 3

$$x_1 \overline{x_2} x_4 + \overline{x_4} x_6 \longrightarrow \text{2-term DNF or Monomial}$$


Length  $l$  = number of literals = 3+2 = 5

$n$  = number of features (variables)

# VeSC-CoL's Hypothesis Space Search



- Given an upper bound on  $k$  for  $k$ -term DNF
- $H_l$  is the hypothesis space for all hypotheses with length  $l$

# Runtime Examples (k=1)

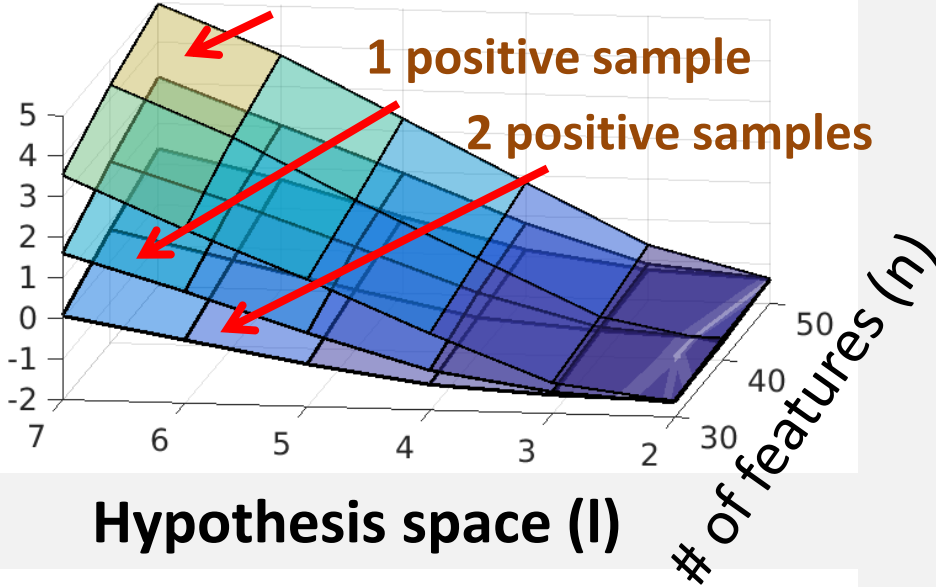
OBDD

0 positive sample

1 positive sample

2 positive samples

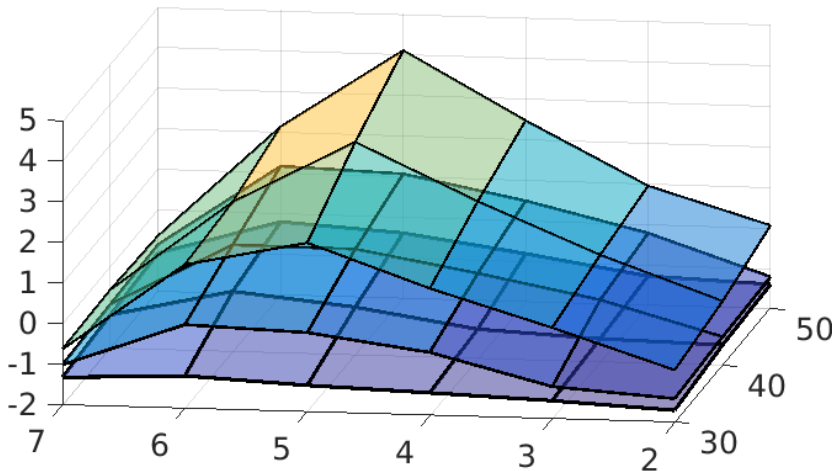
$\log_{10}(\text{second})$



➤ Correct answer is with  $l = 5$

➤  $n$  does not affect runtime much

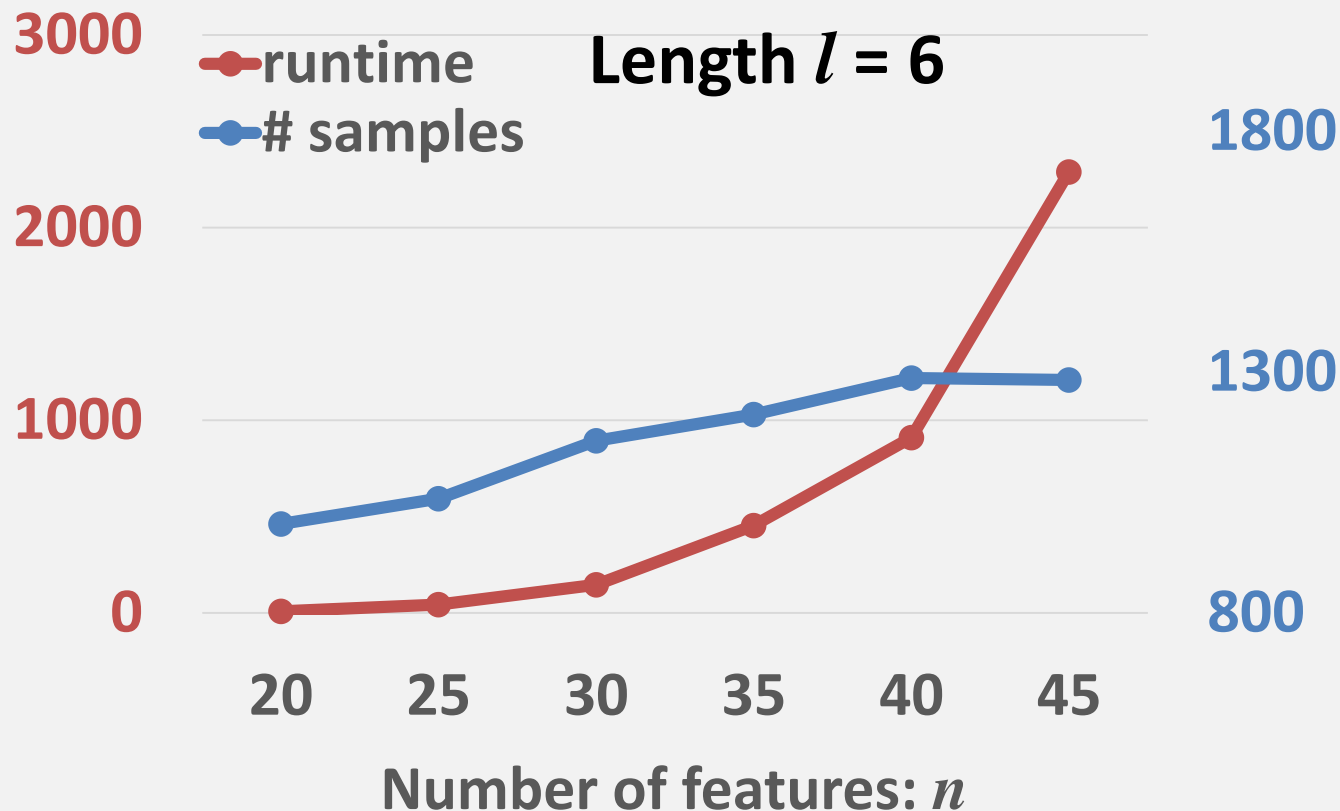
SAT



➤  $l$  limits how far we can search

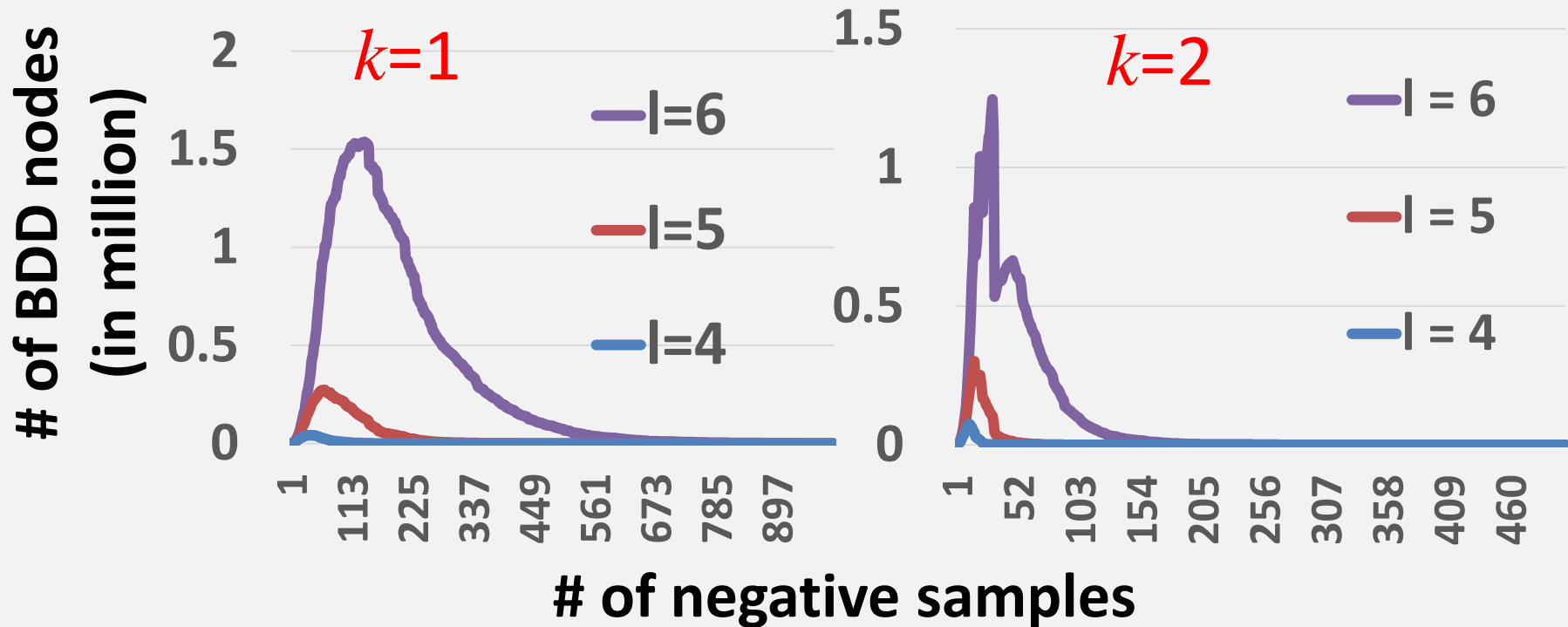
# Interesting Finding

- As  $n$  increases, you are likely to **run out of time** than to **run out of data** (assuming most are negative samples)



# Interesting Finding

- For BDD-based implementation, the runtime wall happens in the early processing of the negative samples

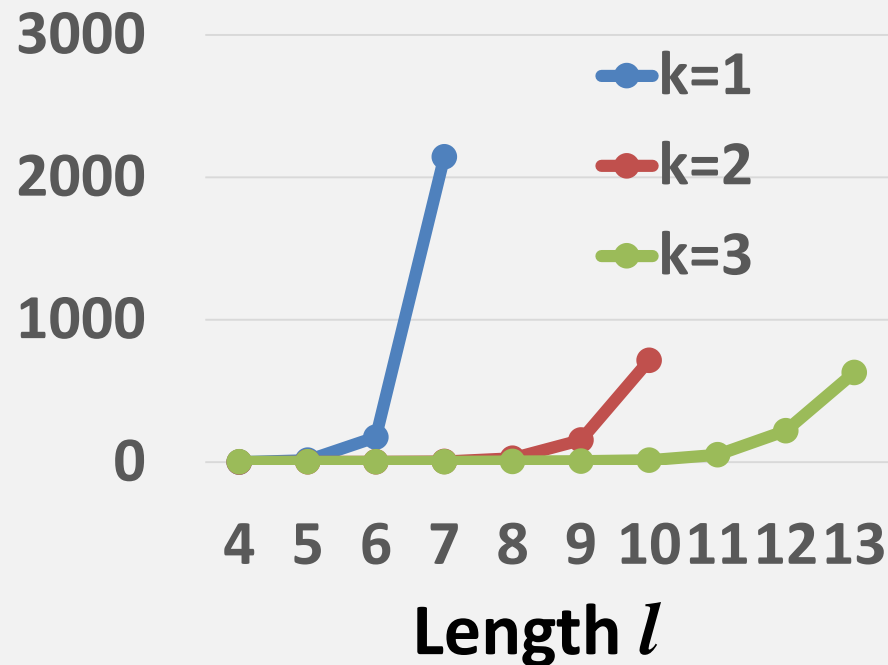


Number of features:  $n=100$

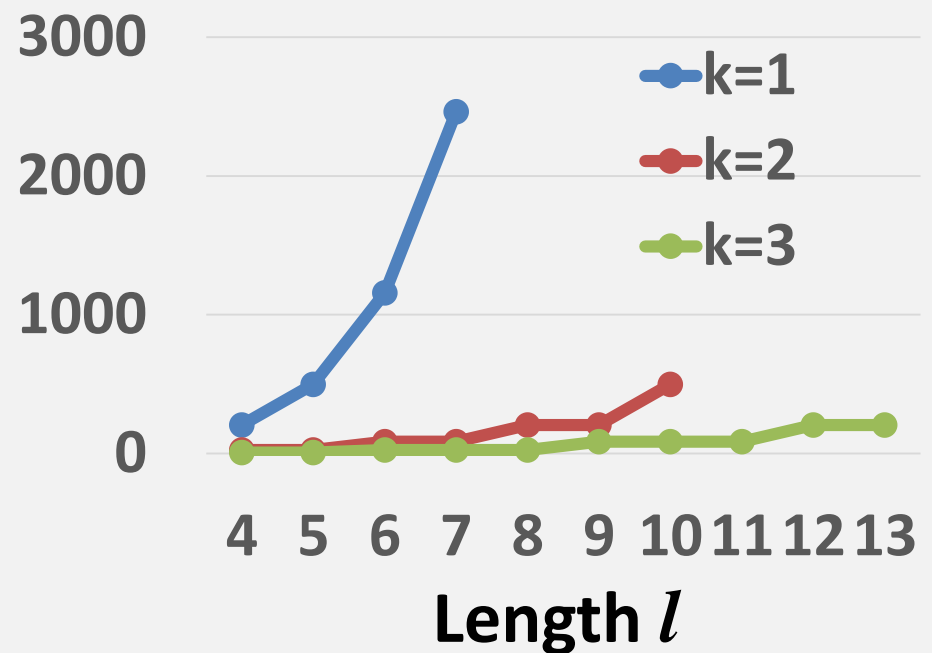
# Interesting Finding

- Requirement for learning the “ $k=1$ ” space dominates the requirements for learning the “ $k>1$ ” spaces

## Run Time



## Samples required



Number of features:  $n=100$

# Guarantee by VeSC-CoL

- Assuming the correct answer can be represented as a  $k$ -term DNF for a selected  $k$ , then **VeSC-CoL always find the answer (assuming runtime is allowed)**
  - Experimentally shown for  $k$  up to 3,  $l$  up to 8, negative sample size up to 10K

VeSC-CoL	CART	ID3
$\overline{x_2 x_{63} x_{75} x_{78} x_{80}}$	$x_3 x_4 x_{28} x_{47} \overline{x_{53} x_{55} x_{80}}$	$x_2 x_3 x_4 \overline{x_{30} x_{47} x_{53} x_{81}}$
$x_{39} \overline{x_{45} x_{72} x_{74} x_{95}}$	$\overline{x_5 x_{16} x_{35} x_{45} x_{55} x_{56} x_{59}}$	$x_8 x_{40} \overline{x_{45} x_{64} x_{74} x_{87}}$
$\overline{x_2 x_{14} x_{52} x_{57} x_{87}}$	$x_{11} \overline{x_{14} x_{24} x_{61} x_{64} x_{90} x_{92}}$	$\overline{x_5 x_6 x_{16} x_{35} x_{45} x_{56} x_{59}}$
$x_{40} \overline{x_{45} x_{64} x_{74} x_{87}}$	$\overline{x_4 x_8 x_{45} x_{47} x_{64} x_{74} x_{89}}$	$\overline{x_2 x_{14} x_{24} x_{61} x_{64} x_{90} x_{92}}$
$\overline{x_{57} x_{58} x_{77} x_{95} x_{98}}$	$\overline{x_5 x_{29} x_{38} x_{43} x_{79} x_{99}} + \overline{x_3 x_5 x_{29} x_{38} x_{43} x_{49} x_{79} x_{99}}$	$\overline{x_5 x_6 x_{11} x_{14} x_{18} x_{34} x_{45}}$

**Always  
Correct**

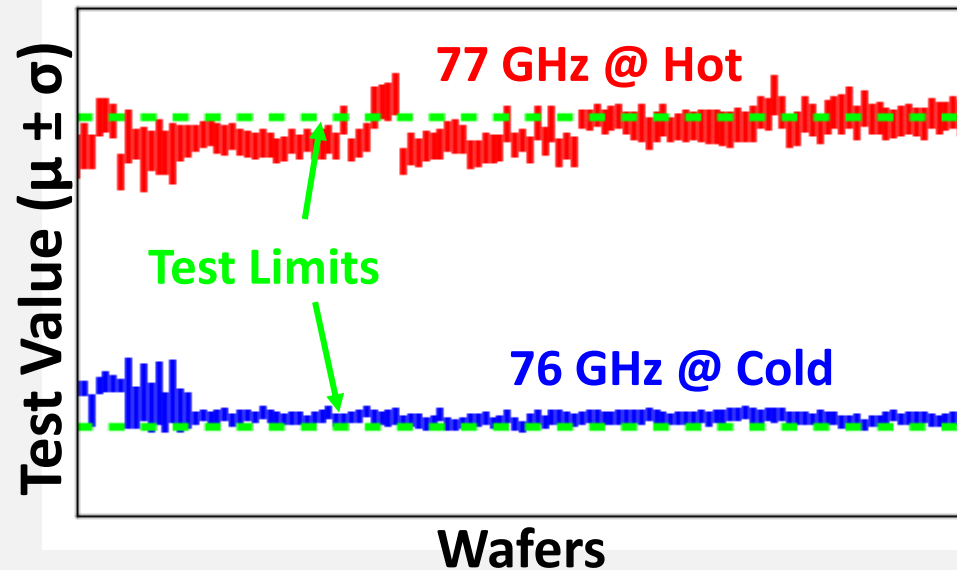
**Always  
Incorrect**

**Always  
Incorrect**



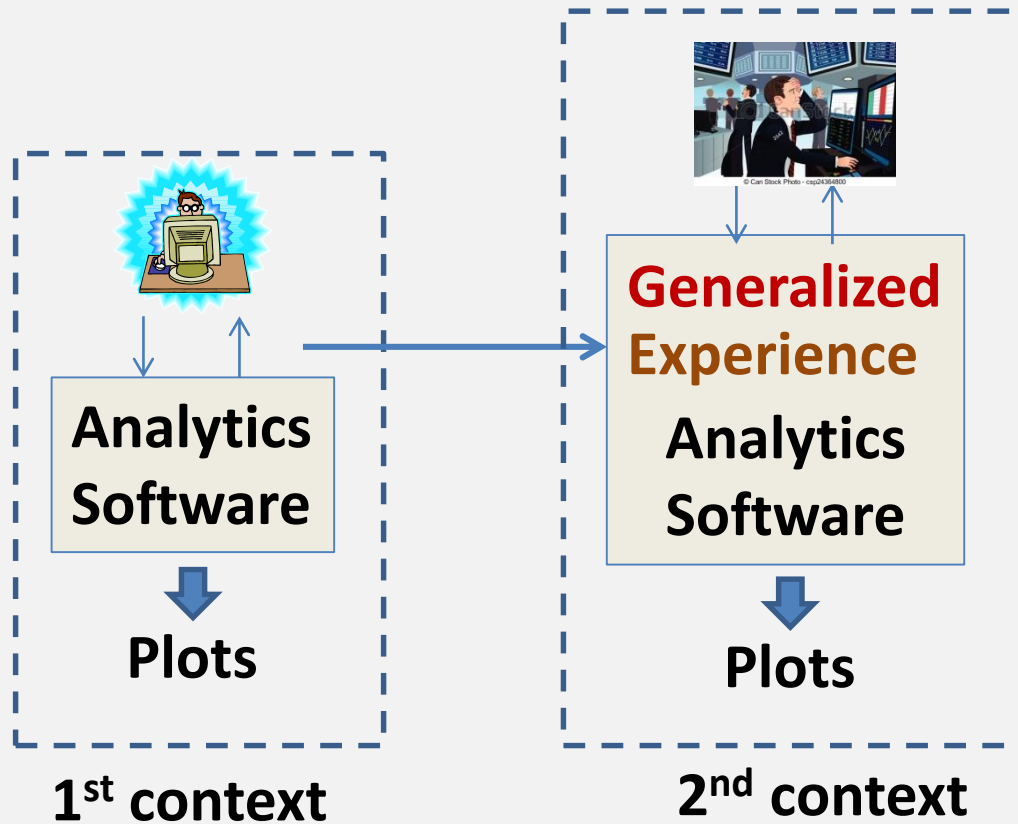
# Analyst Layer Automation

# Recall: Yield Example



- Before this example, we had done work for resolving another yield issue for another product line
- **Question:** Can we learn to model the experience from that work and automate the **Analyst Layer** to resolve this yield issue

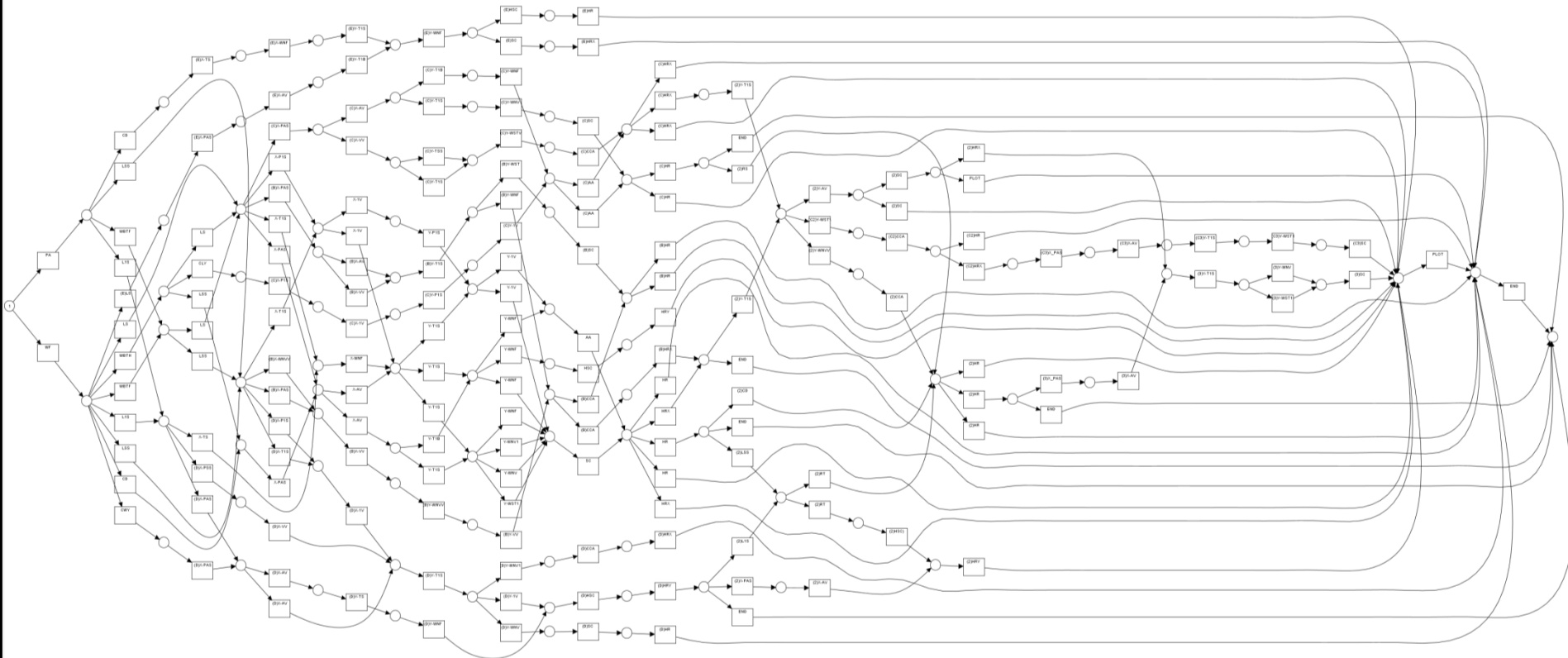
# The Learning Objective



# Modeling “Experience”

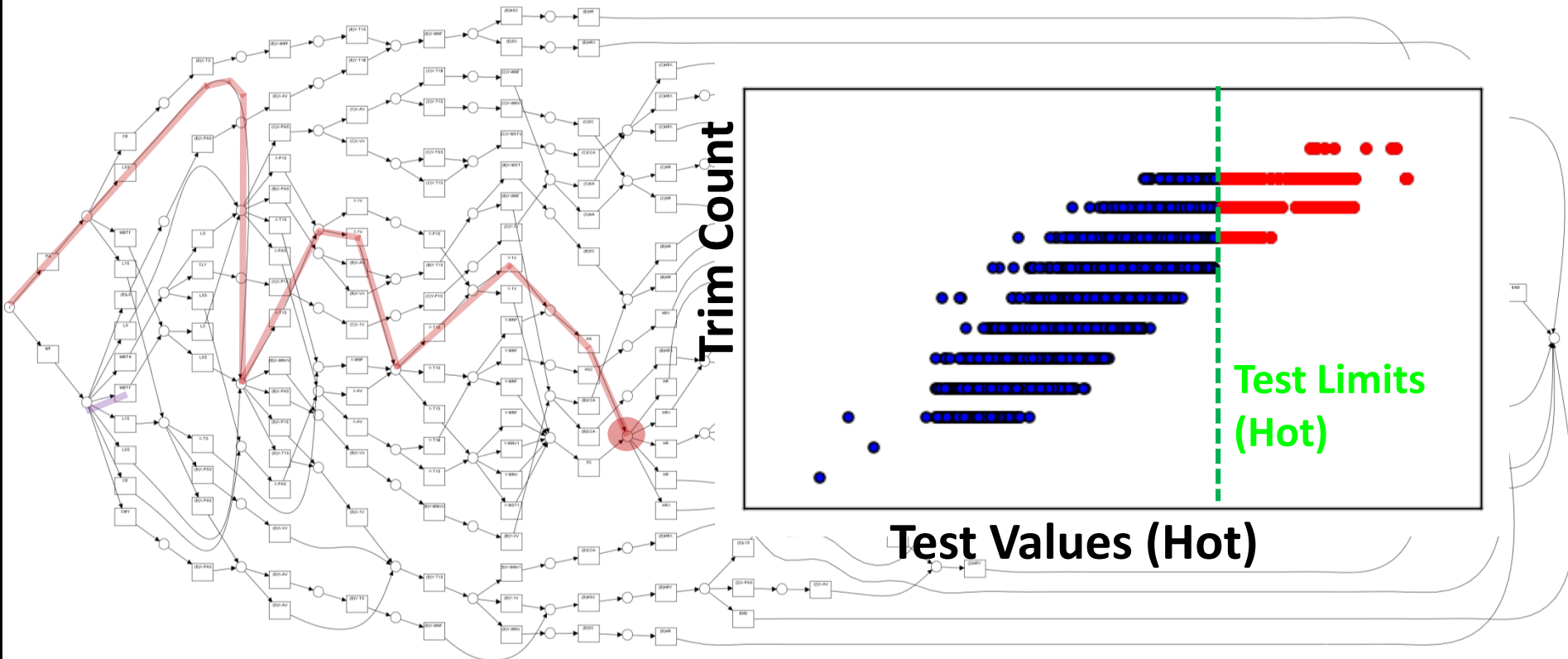
- **To learn from analyst’s experience, we need to have a way to model the experience**
- **Knowledge acquisition**
  - Define a set of **operators**
  - Model experience as “an execution path” following a sequence of operators

# Processing Mining Model



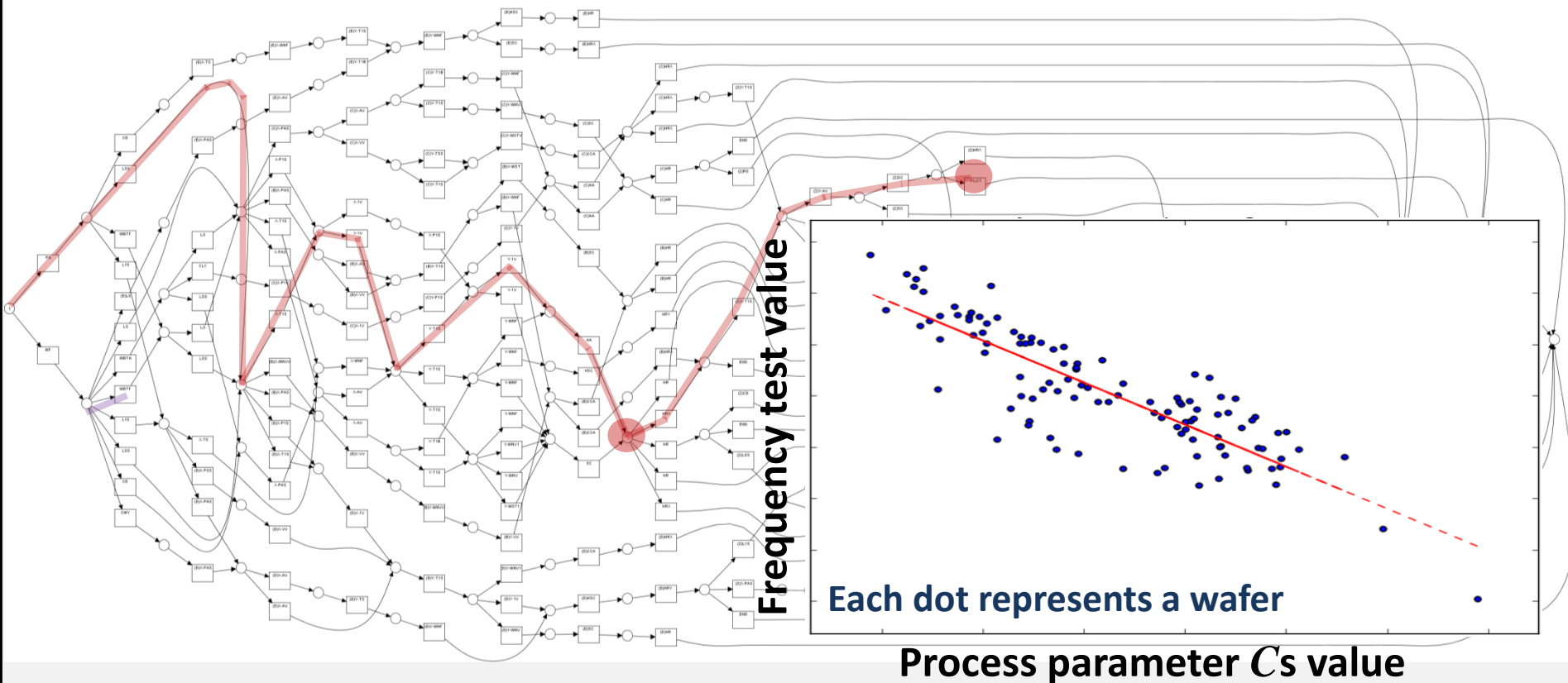
- Record execution paths in a log file
- Apply **process learning** to learn from the log file
- Obtain a Process Model as shown above

# A Generalized Path



- Discover trim count is relevant to hot fails

# Obtain A Meaningful Result



- Determine that parameter C affects the frequency test value which decides the trim count

# Summary: Three Observations

- The effectiveness of “**Machine Learning**” largely depends on how the **Analyst Layer** is conducted
- Automation of “**Machine Learning**” needs to include automation of the **Analyst Layer**
- Traditional machine learning tools are not designed to effectively support the **Analyst Layer**
  - Require an **Alternative ML** view and a learning tool designed to be used **without Cross-Validation**



**THANK YOU!**